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Express Mail No.: EL 451 481 343 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert J. Small, et al.

Application No.: 09/903,064

Group Art Unit: 1751

Filed: July 10, 2001

Examiner: Not yet assigned

For:

COMPOSITIONS FOR CLEANING

Attorney Docket No.: 8317-0091-999

ORGANIC AND PLASMA ETCHED RESIDUES FOR SEMICONDUCTOR DEVICES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX: MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. §1.53(b), mailed August 27, 2001, in connection with the above-identified application, submitted herewith is are the following:

- Declaration and Power of Attorney executed by the inventor Douglas Holmes;
- 2. Part 2 Notice to File Missing Parts of Nonprovisional Application;
- 3. Recordation Form Cover Sheet (in duplicate);
- 4. Assignment to EKC Technology, Inc.; and
- Power of Attorney by Assignee and Exclusion of Inventor(s) under 37
 C.F.R. 3.71.

Please charge the surcharge required under 37 C.F.R. §1.16(e), in the amount of \$130.00 to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

The documents submitted complete the filing of the above patent application and justify the filing date of July 10, 2001.

Respectfully submitted,

Date September 27, 2001

James S. McDonald (Reg. No. 44,229) For Victor N. Balancia (Reg. No. 31,231)

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711 (212) 790-9090

Enclosure

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UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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09/903,064

ILING/RECEIPT DATE 07/10/2001 🗸

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Robert J. Small /

8317-0091-999

CONFIRMATION NO. 9378

24341

Pennie & Edmonds, LLP 3300 Hillview Avenue

FORMALITIES LETTER

Palo Alto, CA 94304 Jed. & Fees Duv: 10/27/01 () Led. & Lew Find: 2/27/02

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Douglas Holmes
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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